

University of Southern Maine  
PI(s) Signoff for Applications to Public Health Service (PHS)  
(e.g. National Institutes of Health & Department of Health & Human Services)

**From Change in Business Practice File: NOT-OD-06-054:** The signature of the Principal Investigator (PI) is no longer required as a part of a submitted application. Instead, a new compliance requirement is now implemented whereby the applicant organization agrees to secure and retain at the organization a written assurance from the Principal Investigator prior to submitting an application to the PHS. While this assurance is no longer required as part of the submitted application, it remains a compliance requirement. Therefore, organizations must retain a unique signature and date for each submitted application. This assurance must be available to the sponsoring agency or other authorized HHS or Federal officials upon request. When multiple PIs are proposed in an application, this assurance must be retained for all named PIs.

**Financial Conflict of Interest (FCOI) Requirements for NIH-Supported Institutions:**

<http://grants.nih.gov/grants/policy/coifaq.htm>

**NIH Public Access Policy - <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-08-033.html>**

The Principal Investigator(s) listed below certify the following:

- (1) that the information submitted within the application is true, complete and accurate to the best of the PI's knowledge;
- (2) that any false, fictitious, or fraudulent statements or claims may subject the PI to criminal, civil, or administrative penalties;
- (3) that the PI agrees to accept responsibility for the scientific conduct of the project and to provide the required progress reports if a grant is awarded as a result of the application; and
- (4) that each Investigator who is planning to participate in the research must have submitted to the designated official (Director of Research Compliance) a listing of her/her known Significant Financial Interests (and those of his/her spouse and dependent children)
  - (a) that would reasonably appear to be affected by the research for which funding is sought from the NIH; and
  - (b) in entities whose financial interests would reasonably appear to be affected by the research need to be disclosed.
- (5) "I am aware of my responsibilities under the NIH Public Access Policy which requires that any peer-reviewed journal articles, accepted for publication on or after April 7, 2008, reporting research supported in whole or in part by NIH funds, will be electronically submitted to the National Library of Medicine's PubMed Central archive no later than 12 months after publication."

\_\_\_\_\_  
Title of Application Submitted to the Public Health Service

\_\_\_\_\_  
Date Submitted

\_\_\_\_\_  
Signature of Principal Investigator

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of co-Principal Investigator

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of co-Principal Investigator

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of co-Principal Investigator

\_\_\_\_\_  
Date

*Please attach this sheet along with copy of application & bluesheet for OSP files.*